



Lignite Eyes

■ Volume-3 ■ Issue-1 ■ July 2011

Vigilance NEWS LETTER

From CVO's Desk

Launching the Complaints Handling Policy of the Corporation and establishing a Chapter of the Vigilance Study Circle for Tamil Nadu and Puducherry at Chennai in March 2011 were two of the major events during this half year.

Complaints Handling Policy has been a long felt need to bring in clarity to the stake holders on who to complaint to, where to complain and what to complain about etc., that is in short, the essentials of the

functioning of the Vigilance department have been brought out in the form of an enforceable order of the Corporation. This is in line with the CVC guidelines on the subject.

The Tamil Nadu Chapter of Vigilance Study Circle is expected to give us a forum for the Vigilance Professionals to meet periodically and exchange their views and share their knowledge and expertise. Both these activities have been covered in detail in this issue.

K.S. BALASUBRAMANIAN
CHIEF VIGILANCE OFFICER



CTE type Intensive Examination was carried out in one of the Purchase Order for using in Mines, 14 Kms of 11 KV, 3 x 185 + 3 x 95/3 Sq.mm Flexible EPR cable with ATC conductor.

The following are the vigilance observations:

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- i. In the present condition of OLIMMS and e-procurement the indenting quantity was beyond the requirement in the Department, as it was kept at stores itself for a long time.
- ii. It has been found that time taken for check measurement by the field staff was on the higher side in some cases, and also for case opening by the stores staff was on higher side.
- iii. In the concerned division had completely used their allocation, over and above they indented further quantity in a fresh indent and the same was drawn in the same month.
- iv. In the concerned division, out of 4006 metres of cable, 1612 metres was kept at stores itself, which shows that there was no proper planning.

- v. The last drum supplied by the firm against our past rejection, instead of 390 meter (400 + 2.5%), the firm supplied 385 meter but marking as 390 meter in the drum as well in the test certificate. The cable was tested and found O.K. Due to 5 meter short the drum was rejected, and informed to take back. The rejected drums were taken by the supplier.

The concerned Unit Head was communicated to instruct the concerned divisions for proper planning, maximum utilization, using the quantity available in stock and improve the storage conditions of cables. Also to take appropriate administrative action to streamline the activities of both stores and field personnel to meet NLC's committed payment norms to the respective vendors. The same was communicated to CVC vide Lr. No.346/CVO/Vig./NLC/CTE Type/Mine-II/2010/14, dated 18.03.2011.

As per the Vigilance suggestion, General Manager/P&A issued a circular to all unit personnel heads with regard to vigilance initiated disciplinary cases as follows:

"It has been observed while reviewing the status of vigilance initiated disciplinary cases that certain cases are found pending for a period of more than six months. The Unit Heads are requested to bestow their personal attention to complete the vigilance initiated 'minor' and 'major' disciplinary cases within three months and six months period respectively and also to furnish a status report on quarterly basis to the Vigilance Branch."

Complaint Handling Policy of NLC Ltd., Neyveli

- 1.0.** Complaint should be lodged by providing with reasonable details on the nature of complaints being preferred. Anonymous and Pseudonymous complaints will not be entertained as per the guidelines issued by CVC. The issues / points raised in the complaint should be specific and verifiable. The details of the complainants will be kept confidential. Any person filing false complaints will be dealt with as per rules.
- 2.0.** Complaint may be on:
 - i. Demanding / accepting gratification by an employee of the corporation in respect of an official act.
 - ii. Abuse of position by an employee of NLC.
 - iii. Possession of disproportionate assets.
 - iv. Mis-appropriation / forgery or cheating or other similar criminal offense.
 - v. Favouritism / undue consideration shown by an employee in official dealing.
 - vi. Inordinate / unjustifiable delay in disposal of cases.
 - vii. Intentional neglect or damages done to the organization.
 - viii. Financial loss caused to the organization by any of not following proper procedure and right cause of action system lapses.
 - ix. Any other complaints on an employee involving moral turpitude or financial irregularity.
 - x. Complaints which do not fall under the above categories will be returned to the Units concerned for necessary action when received by Vigilance.
- 3.0.** Complaints can be lodged by any one of the following methods:
 - a. By sending a complaint to CVO through post or meet CVO or his Officer in person and handing over the complaint.
 - b. Through e-mail – cvo.nlc@nlcindia.com.
 - c. By using on-line complaint registration system on the Website at www.nlcindia.com (cvo.nlc@nlcindia.com).
 - d. By dropping the complaints in Vigilance Complaint Boxes provided at various Units in NLC.
 - e. Complaints without substance or details and or which are habitual complaints will not be entertained.

A Vigilance Study Circle, Tamil Nadu Chapter was inaugurated by Shri R. Sri Kumar, Central Vigilance Commissioner on 23.03.2011



Vigilance Study Circle(VSC), Hyderabad, with an aim of bringing all Vigilance functionaries in the country together and to promote the anti-corruption movement, has been functioning for the past seven years. This Circle was established by Association for Advancement of Police and Security Sciences (AAPSS), Hyderabad. Dr. S. Subramanian, IPS(Retd), Chairman of the AAPSS and a nationally well known expert on vigilance, established VSC in Hyderabad in July 2003, which was formally inaugurated by Shri P. Sankar, the then Central Vigilance Commissioner on 13th July 2003.

Need is felt to bring all vigilance functionaries in Tamilnadu and Puducherry under one roof for promotion of anti-corruption movement and to share rich experiences with regard to vigilance function.

Accordingly, a foundation meeting was held on 07.12.2010 with the participation of vigilance functionaries of various Public Sector Undertaking and other Public Organizations in Tamilnadu and Puducherry region such as NLC Ltd., Airport Authority of India, Chennai Port Trust, SAIL, Indian Railways, Shipping Corporation of India, Chennai Petroleum Corporation Ltd., Indian Bank, Indian Overseas Bank. During the meeting, decision was taken to form Vigilance Study Circle, Tamilnadu Chapter under the Chairmanship of Shri K.S. Balasubramanian, IPS, ADGP & CVO, NLC Ltd., and a resolution has been passed to affiliate this Chapter with the Vigilance Study Circle, Hyderabad.

Accordingly the Tamil Nadu Chapter of Vigilance Study Circle was inaugurated by Shri R. Sri Kumar, Vigilance Commissioner, CVC on 23.02.2011 at a function held at the Image Conference Hall, Indian Bank, Chennai. The Chief Guest of the function Shri R. Sri Kumar in the Chief

Guest address stressed that vigilance is one of the management function and both the CEO and CVO of the organization must join hands to implement vigilance activities. The CMD/NLC Ltd., in his key note address high lightened that NLC has implemented e-procurement, e-auction, e-payment etc., and IIT Kharagpur is entrusted with the work of introducing e-contract in NLC.

Shri Atulya Misra, IAS, CMD, Chennai Port Trust, Shri M. Narendra, CMD, Indian Overseas Bank, Shri T.M. Bhasin, CMD, Indian Bank, Shri N.V. Raja Shekar, IFS, President VSC, Hyderabad extended their felicitations in the inaugural function. Earlier, Shri K.S. Balasubramanian, CVO, NLC and the Chairman, VSC, Tamil Nadu Chapter welcomed the gathering and Shri Anil Bhatnagar, CVO, Indian Overseas Bank and Vice Chairman of VSC, TN Chapter proposed the vote of thanks. A number of CVOs and senior officials of various PSUs participated in the inaugural function.

This Tamilnadu Chapter shall strive to assist, co-operate and collaborate with the like minded persons and organizations for promoting elimination of corruption and to share rich experiences with regard to vigilance activities. It shall assist in imparting knowledge and skills to vigilance functionaries on anti-corruption and vigilance functions. It shall also organize Workshop, Symposia, Seminars and Consultations. In furtherance of the above, the chapter shall also publish journals, professional lectures etc. to assist vigilance functionaries and to promote vigilance awareness among public and also encouraging 'Whistle Blowers' in exposing corruption. It shall also undertake other activities for furtherance of the basic aim of eliminating corruption.

f. Postal Address:

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- 4.0.** In accordance with the CVC guidelines, complaints received by Units / Divisions / Departments containing any element of alleged corruption, malpractices or misconducts etc. including the complaints enumerated in para 2.0 above to be forwarded to Vigilance Branch for determination for Vigilance angle. Complaints which do not fall under para 2.0 above, if received by Vigilance shall be returned / forwarded to the concerned Units.
- 5.0.** The investigation of the complaint may logically end up with one or more of the following actions.
- I. Filing of complaint in case there is no merit or the complaint is vague or without verifiable facts.
 - ii. Preventive action in the form of bringing in systems improvement.
 - iii. Punitive actions like recovery and / or regular Departmental Disciplinary Action against concerned employees, blacklisting of contract agency and imposition of other penalties like debarring from future dealings with NLC, forfeiture of Security Deposit / Earnest Money Deposit etc. These actions will be taken in accordance with laid down rules and terms and conditions as applicable.
 - iv. Referring the complaint before or after enquiry to any other Competent Authority for further action.
- 6.0.** Vigilance Branch has no jurisdiction over the organization of private individuals not having business dealing with NLC and the complaints against them will not be entertained by this branch.
- 7.0.** Any modification / alteration in this Complaint Handling Policy will be issued by the CMD in consultation with the CVO in line with the CVC guidelines.

Review of Integrity Pact Programme at NLC

NLC has signed MoC with the Transparency International (India) on 29th December 2009. The pact provided for ethical practices and honest dealings with suppliers, contractors and service providers and would be applicable to the contracts valued Rs. 1 Crore and above. The implementation of the pact is being monitored by the independent external monitors. A review meeting was organized by NLC on 09.06.2011 at Neyveli to review and evaluate Integrity Pact

Programme and its effectiveness. Shri Pradip Kumar Banerji, former Secretary to Government of India, Shri D. Mukherjee, former Tamil Nadu DGP/Tamil Nadu attended the meeting as independent external monitors along with CMD and other senior executives of NLC Ltd., Neyveli. It is to be recalled that NLC already had organized a vendors meet at Chennai during 2010 with Independent External Monitors.



NLC Vigilance Website : To send Information / Complaints with identity to this branch, log on to NLC Intranet and also email to cvo.nlc@nlcindia.com



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News Letter of NLC Vigilance Branch (For private circulation only)
Published by Neyveli Lignite Corporation Ltd.
Mini Ratna - Govt. of India Enterprise
Neyveli 607 801, Tamil Nadu. Website : www.nlcindia.com